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PATENT
Docket No. 304142000321
Client Ref. 11D10

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

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April 14, 1997
Date

Susan L. Wooldridge
Susan L. Wooldridge

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Malaya Chatterjee, et al.

Serial No.: 08/766,350

Filing Date: December 13, 1996

For: MURINE MONOCLONAL ANTI-
IDIOTYPE ANTIBODY 11D10 AND
METHODS OF USE THEREOF

Examiner: Unassigned

Group Art Unit: Unknown

TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION

Box MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In complete response to the Notice to File Missing Parts of Application Under 37 C.F.R. § 1.53(d) dated February 24, 1997, attached please find:

- ☒ Declaration for Utility Patent Application, dated March 19, 1997, and the surcharge of \$65.00 as set forth in 37 C.F.R. § 1.16(e), three (3) pages.
- ☒ Verified Statement (Declaration) Claiming Small Entity Status 37 C.F.R. § 1.9(f) and § 1.27(d) - Nonprofit Organization, dated March 26, 1997, three (3) pages.
- ☒ Power of Attorney and Prosecution by Assignee under 37 C.F.R. § 3.71, dated March 26, 1997, two (2) pages.
- ☒ Certificate Under 37 C.F.R. § 3.73(b), dated April 7, 1997.
- ☒ Copy of PTO Form-1533, mailed February 24, 1997.

☒ Other: Return receipt postcard.

The filing fee has been calculated as follows:

| FOR | NUMBER FILED | NUMBER EXTRA | RATE | CALCULATIONS |
|---|--------------|--------------|-------------------------------|--------------|
| TOTAL CLAIMS | 14 - 20 = | -0- | x \$22.00 | \$-0- |
| INDEPENDENT CLAIMS | 3 - 3 = | -0- | x \$80.00 | \$-0- |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | + \$260.00 | \$-0- |
| | | | BASIC FEE | \$770.00 |
| | | | TOTAL OF ABOVE CALCULATIONS = | \$770.00 |
| Reduction by 1/2 for filing by small entity (Note 37 C.F.R. §§ 1.9, 1.27, 1.28). If applicable, verified statement must be attached. | | | | \$385.00 |
| SURCHARGE FOR FILING MISSING PARTS | | | | \$65.00 |
| | | | TOTAL = | \$450.00 |

☒ A check in the amount of \$ 450.00 is attached.

☐ Charge \$ to **Deposit Account No. 03-1952.**

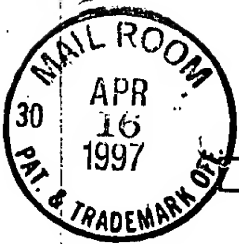
The Assistant Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§ 1.16 and 1.17 that may be required by this transmittal and associated documents, or to credit any overpayment to **Deposit Account No. 03-1952.** A duplicate copy of this transmittal is enclosed for that purpose.

Dated: April 14, 1997

Respectfully submitted,

By: Catherine M. Polizzi
Catherine M. Polizzi
Registration No. 40,130

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65-205 / 385-207
UNITED STATES DEPARTMENT OF COMMERCE
Patent & Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NO./TITLE |
|--------------------|---------------------|-----------------------|---------------------------|
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08/766,350

12/13/96

CHATTERJEE

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MORRISON AND FOERSTER
755 PAGE MILL ROAD
PALO ALTO CA 94304-1018

NOT ASSIGNED

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DATE MAILED:

02/14/97

NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 150.00 for a ☒ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ large entity ☐ small entity (verified statement filed), is \$ 1,770.00.

☒ 1. The statutory basic filing fee is:

- ☒ missing.
- ☐ insufficient.

Applicant must submit \$ 770.00 to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

☒ 2. Additional claim fees of \$ 1,075.00, including any multiple dependent claim fees, are required. Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☐ is missing.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☒ 4. The signature(s) to the oath or declaration is/are:

- ☒ missing.
- ☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

_____ oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$ 150.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts, TL 05/01/97 08766350

A copy of this notice MUST be returned with the response

Customer Service Center
Initial Patent Examination Division (703) 308-1202